Standard Missile 2 (SM-2) Block IIIC and Block IIICU



In FY23, the Navy completed a quick reaction assessment (QRA) to provide an operational demonstration of the Standard Missile 2 (SM-2) Block IIIC prototype. In addition to the live missile firing event reported in the FY22 Annual Report, the Navy completed a modeling and simulation (M&S) study and cyber tabletop assessment. Analysis is in progress and DOT&E plans to deliver an early fielding report (EFR) for SM-2 Block IIIC in 2QFY24 to support a fielding decision in the same quarter. The Navy plans IOT&E of the next missile variant, SM-2 Block IIICU, in FY27.

SYSTEM DESCRIPTION

The SM-2 Block IIIC and Block IIICU are medium-range, surfaceto-air missiles with active radio frequency seekers. Both missiles are modifications to legacy SM-2 Block III/IIIA/IIIB missiles. The most significant modification is replacement of the legacy semiactive missile seeker with a dualmode semi-active and active missile seeker based on SM-6 Block I technology. The SM-2 Block IIIC and Block IIICU additionally have a new dorsal fin design and a thrust vectoring jet tab assembly to control trajectory as the missile egresses the launcher. The Navy's Guidance Section Electronics Unit (GS EU) replacement program is making hardware changes to the SM-6 Block IA Guidance Section and Target Detection Device to address obsolescence issues. The upgraded GS EU will be qualified on the SM-6 Block IA missile as the SM-6 Block IAU. Integration of the upgraded GS EU on the SM-2 Block IIIC results in the SM-2 Block IIICU.

MISSION

The joint force commander will use SM-2 Block IIIC and Block IIICU missiles from *Arleigh Burke*class and *Constellation*-class ships to provide medium-range air defense, both self-defense and area air defense, against anti-ship cruise missiles and tactical aircraft. The joint force commander will use SM-2 Block IIIC and Block IIICU missiles in Naval Integrated Fire Control – Counter Air engagements from ships with this capability.

PROGRAM

The SM-2 Block IIIC was developed as a Middle Tier of Acquisition program for rapid prototyping. The Navy declared interim capability for SM-2 Block IIIC in November 2022 and expects to field the missile in 2QFY24.

The Navy declared SM-2 Block IIICU an Acquisition Category II program on the major capability acquisition pathway in an acquisition decision memorandum in April 2022, pending acquisition program baseline approval, anticipated in 1QFY25. The program was approved to enter at Milestone B in April 2023, also pending the acquisition program baseline approval. DOT&E approved the SM-2 Block IIICU Milestone B Test and Evaluation Master Plan (TEMP) in 1QFY24.

» MAJOR CONTRACTOR

 Raytheon, a subsidiary of RTX (formerly Raytheon Technologies)
Tucson, Arizona

TEST ADEQUACY

In February 2023, the Navy completed an M&S study and the Navy's Operational Test Force (OPTEVFOR) conducted a cyber tabletop assessment of the SM-2 Block IIIC. These evaluations were conducted as part of a QRA to inform SM-2 Block IIIC fielding and supplement the live missile firing event reported in the FY22 Annual Report. OPTEVFOR intends to accredit the M&S for operational assessment in 1QFY24. The cyber tabletop will inform cyber threat vectors and areas of focus for future cyber vulnerability assessment but is not sufficient to determine cyber vulnerability of the SM-2 Block IIIC. DOT&E expects to complete review of the OPTEVFOR cyber vulnerability assessment and the Navv's M&S study of SM-2 Block IIIC in 1QFY24.

DOT&E deferred the EFR for SM-2 Block IIIC identified in the FY22 Annual Report. DOT&E will submit this report in 2QFY24 to include additional analysis from the cyber tabletop evaluation and M&S study conducted in FY23 and inform the Navy's planned fielding decision in the same quarter. Test were intended to demonstrate limited capability of the SM-2 Block IIIC prototype but not to determine operational effectiveness, suitability, or cyber survivability.

No SM-2 Block IIICU operational testing has occurred. SM-2 Block IIICU IOT&E is planned for FY27. The Navy is in development of the SM-2 Block IIICU Milestone C TEMP.

There are no changes to the legacy warhead or fusing method used on the SM-2 Block IIIC and Block IIICU missile. However, the packaging of the warhead within a modified airframe may affect the missile's lethality and should be assessed by the Navy with analysis provided to DOT&E.

PERFORMANCE

» EFFECTIVENESS, SUITABILITY, AND SURVIVABILITY

No assessments of operational effectiveness, suitability, or cyber survivability of SM-2 Block IIIC are available due to ongoing analysis. DOT&E will submit a classified EFR of SM-2 Block IIIC in 2QFY24.

» LETHALITY

No data are available to assess potential changes in lethality of the SM-2 Block IIIC and Block IIICU missiles from the legacy SM-2 Block III and IIIA missiles.

RECOMMENDATION

The Navy should:

 Assess the effect of missile airframe modifications on SM-2 Block IIICU lethality and provide associated analysis to DOT&E.